Appendix B

Documentation of Assurances
States must provide all applicable information following each OAA citation listed below. The completed attachment must be included with your State Plan submission.

Section 305(a) (2)(E)
Describe the mechanism(s) for assuring that preference will be given to providing services to older individuals with greatest economic need and older individuals with greatest social need, (with particular attention to low-income older individuals, including low-income minority older individuals, older individuals with limited English proficiency, and older individuals residing in rural areas) and include proposed methods of carrying out the preference in the State plan;

See State Plan. In addition all AAA’s are required to address these targeted populations in their Area Plans and Annual Updates of the Plan.

Section 306(a)(17)
Describe the mechanism(s) for assuring that each Area Plan will include information detailing how the Area Agency will coordinate activities and develop long-range emergency preparedness plans with local and State emergency response agencies, relief organizations, local and State governments and other institutions that have responsibility for disaster relief service delivery.

Each Area Plan is to attach a Disaster Plan including their local MOU with the Local Emergency Management Agencies. These plans include calling trees and other information which is to be updated annually in the Annual Operating Plan.

Section 307(a)(2)
The plan shall provide that the State agency will:
(C) Specify a minimum proportion of the funds received by each area agency on aging in the State to carry out part B that will be expended (in the absence of a waiver under sections 306 (c) or 316) by such area agency on aging to provide each of the categories of services specified in section 306(a)(2) (Note: those categories are access, in-home, and legal assistance). Provide specific minimum proportion determined for each category of service.

Priority Services (Section 307(a)(2)(C) and Section 306(a)(2))

Sections 307(a)(2)(C) and 306(a)(2) require that a state agency provide assurances that a minimum percentage of each AAA’s Title III-B allotment will be spent on the delivery of access, in-home, and legal services.

ADSS requires each AAA budget and expend the following percentages of Title III B funding (plus required match) on priority services:

a. Access: 29.1% of FY 2016 Title III-B award
b. In-Home: 2.5% of FY 2016 Title III-B award

c. Legal: 6.7% of FY 2016 Title III-B award

Section (307(a)(3))

The plan shall:

... (B) with respect to services for older individuals residing in rural areas:

(i) provide assurances the State agency will spend for each fiscal year of the plan, not less than the amount expended for such services for fiscal year 2000.

Service Needs of Rural Alabamians (Section 307(a)(3) and Section 307(a)(10))

ADSS uses an IFF that is weighted in favor of older individuals living in rural areas. In addition, AAAs are encouraged to give a similar emphasis within the PSAs to those providers whose services will be of the greatest benefit to rural older persons. ADSS includes in its assessment procedures an emphasis on determining each AAA’s effectiveness in targeting rural older persons.

(ii) identify, for each fiscal year to which the plan applies, the projected costs of providing such services (including the cost of providing access to such services).

(iii) describe the methods used to meet the needs for such services in the fiscal year preceding the first year to which such plan applies.

Section 307(a)(10)

The plan shall provide assurance that the special needs of older individuals residing in rural areas are taken into consideration and shall describe how those needs have been met and describe how funds have been allocated to meet those needs.

Section 307(a)(14)

(14) The plan shall, with respect to the fiscal year preceding the fiscal year for which such plan is prepared—

(A) identify the number of low-income minority older individuals in the State, including the number of low income minority older individuals with limited English proficiency; and

(B) describe the methods used to satisfy the service needs of the low-income minority older individuals described in subparagraph (A), including the plan to meet the needs of low-income minority older individuals with limited English proficiency.

See Plan and demographics

Section 307(a)(21)

The plan shall:
(B) provide an assurance that the State agency will pursue activities to increase access by older individuals who are Native Americans to all aging programs and benefits provided by the agency, including programs and benefits provided under this title (title III), if applicable, and specify the ways in which the State agency intends to implement the activities.

See Plan

Section 307(a)(29)
The plan shall include information detailing how the State will coordinate activities, and develop long-range emergency preparedness plans, with area agencies on aging, local emergency response agencies, relief organizations, local governments, State agencies responsible for emergency preparedness, and any other institutions that have responsibility for disaster relief service delivery.

See plan

Section 307(a)(30)
The plan shall include information describing the involvement of the head of the State agency in the development, revision, and implementation of emergency preparedness plans, including the State Public Health Emergency Preparedness and Response Plan.

The Commissioner of Senior Services as a member of the Governor’s Cabinet is a member of the Governor’s Advisory Relief Team which works directly with the State Emergency Management Agency. In the event of inclement weather or any other potential pre/post disaster event the Team is informed by EMA on an hourly basis of the status of event. In the case of an actual emergency or disaster the team has morning and afternoon briefings. Staff also participate in the Alabama Emergency Preparedness and Response Plan and Quarterly FAND Task Force meetings with the Center for Emergency Preparedness.

Section 705(a)(7)

In order to be eligible to receive an allotment under this subtitle, a State shall include in the State plan submitted under section 307:

(7) a description of the manner in which the State agency will carry out this title in accordance with the assurances described in paragraphs (1) through (6).
(Note: Paragraphs (1) of through (6) of this section are listed below)

In order to be eligible to receive an allotment under this subtitle, a State shall include in the State plan submitted under section 307:

(1) an assurance that the State, in carrying out any chapter of this subtitle for which the State receives funding under this subtitle, will establish programs in accordance with the requirements of the chapter and this chapter;
(2) an assurance that the State will hold public hearings, and use other means, to obtain the views of older individuals, area agencies on aging, recipients of grants under title VI, and other interested persons and entities regarding programs carried out under this subtitle;

Town hall meetings were held in the 7 Congressional Districts. AAA Directors and their staff were asked for feedback in their Annual Operating Plans. Two Task Forces assisted with the planning process and feedback. The State Plan and Intrastate Funding Formula for fiscal years 2017-2020 will be addressed for public comments in a Public Hearing that will be held, Tuesday, April 19, 2016. Documentation is addressed in the state plan.

(3) an assurance that the State, in consultation with area agencies on aging, will identify and prioritize statewide activities aimed at ensuring that older individuals have access to, and assistance in securing and maintaining, benefits and rights;

Addressed in State Plan under Aging and Disability Resource Centers, Alabama’s No Wrong Door model.

(4) an assurance that the State will use funds made available under this subtitle for a chapter in addition to, and will not supplant, any funds that are expended under any Federal or State law in existence on the day before the date of the enactment of this subtitle, to carry out each of the vulnerable elder rights protection activities described in the chapter;

ADDITIONAL STATE PLAN REQUIREMENTS (Section 705(a)(7))

Funds made available under this subtitle will not be used to supplant funds previously expended under any Federal or State law for this subtitle. ADSSS requires each AAA to budget and expend, at minimum, FY 2000 expenditure amounts for Title III B Ombudsman, Title VII Ombudsman, and Title VII Elder Abuse.

(5) an assurance that the State will place no restrictions, other than the requirements referred to in clauses (i) through (iv) of section 712(a)(5)(C), on the eligibility of entities for designation as local Ombudsman entities under section 712(a)(5);

Designation requirements addressed in ombudsman policies and procedures and through all ombudsman contracts and sub-contracts with designated entities.

(6) an assurance that, with respect to programs for the prevention of elder abuse, neglect, and exploitation under chapter 3--

(A) in carrying out such programs the State agency will conduct a program of services consistent with relevant State law and coordinated with existing State adult protective service activities for:

(i) public education to identify and prevent elder abuse;
(ii) receipt of reports of elder abuse;
(iii) active participation of older individuals participating in programs under this Act through outreach, conferences, and referral of such individuals to other social service agencies or sources of assistance if appropriate and if the individuals to be referred consent; and
(iv) referral of complaints to law enforcement or public protective service agencies if appropriate;
As addressed in state plan, the Office of the State Long Term Care Ombudsman Program conducts state and local programs of services consistent with state law.

(B) the State will not permit involuntary or coerced participation in the program of services described in subparagraph (A) by alleged victims, abusers, or their households; and

(C) all information gathered in the course of receiving reports and making referrals shall remain confidential except—

(i) if all parties to such complaint consent in writing to the release of such information;

(ii) if the release of such information is to a law enforcement agency, public protective service agency, licensing or certification agency, ombudsman program, or protection or advocacy system; or

(iii) upon court order.

The State Ombudsman Office has clear policies and procedures in place to report and refer any potential elder abuse and exploitation cases to the proper authorities. Also, the policies and procedures address receiving reports, consent, confidentiality, and disclosure.

In addition, the Ombudsman Program is represented on the State-wide Elder Justice Council and local elder abuse task forces, such as, APS, State and Local Law Enforcement, State Medicaid Agency, Alabama Department of Public Health and other reporting agencies. The Ombudsman Program works closely with the state Legal Developer, and local legal providers to address all elder rights issues.
PROJECTED COST OF SERVICES IN RURAL AREAS
FOR Fiscal years 2017 - 2020

STATE AGENCY: Alabama Department of Senior Services

For this purpose, the Alabama Department of Senior Services uses as a definition of "rural" those counties

Based on this definition, projected costs of Title III services in the affected planning and service areas, for the State of Alabama, are shown below. The projections reflect demographic changes which have occurred and greater accuracy in reporting. As shown, the costs of rural services increase with each fiscal year and exceed the rural costs for 2000 ($12,844,636).

### RURAL SERVICE COSTS IN DOLLARS

<table>
<thead>
<tr>
<th>PSA</th>
<th>AAA Name</th>
<th>% of Rural Clients</th>
<th>ESTIMATED FY 17</th>
<th>ESTIMATED FY 18</th>
<th>ESTIMATED FY 19</th>
<th>ESTIMATED FY 20</th>
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<tr>
<td>01</td>
<td>Northwest</td>
<td>59.43%</td>
<td>787,749</td>
<td>795,626</td>
<td>803,583</td>
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<td>02</td>
<td>West</td>
<td>53.10%</td>
<td>823,067</td>
<td>831,297</td>
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<td>03</td>
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<td>55.86%</td>
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<td>1,648,766</td>
<td>1,665,253</td>
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<td>OSCS</td>
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<td>256,960</td>
<td>259,530</td>
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<td>04</td>
<td>East</td>
<td>53.89%</td>
<td>2,049,581</td>
<td>2,070,077</td>
<td>2,090,778</td>
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<td>05</td>
<td>South Central</td>
<td>72.06%</td>
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<td>06</td>
<td>Ala Tom</td>
<td>76.83%</td>
<td>1,619,868</td>
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<td>SARCOA</td>
<td>57.04%</td>
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<td>South Ala</td>
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<td>09</td>
<td>Central</td>
<td>28.17%</td>
<td>542,502</td>
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<td>10</td>
<td>Lee Russell</td>
<td>34.33%</td>
<td>395,775</td>
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<td>1,423,130</td>
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<td>TOTAL</td>
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<td>15,746,400</td>
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